



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: Richard E. Smalley et al.

Serial No.: 10/034,745

Filed: December 28, 2001

Confirmation No.: 2473

Art Unit: 1745

Examiner: John S. Maples

Title: MEMBRANE COMPRISING  
AN ARRAY OF SINGLE-WALL  
CARBON NANOTUBES**MAILING CERTIFICATION**  
**UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence and supporting documents are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on May 24, 2004

GRACIE SOLIS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**TRANSMITTAL FOR RESPONSE TO**  
**NOTICE OF NON-COMPLIANT AMENDMENT UNDER 37 C.F.R. § 1.121**

Dear Sir:

In connection with the above-identified patent application, Applicant transmits herewith Response to Notice of Non-Compliant Amendment Under 37 C.F.R. §1.121 and Acknowledgment Postcard.

- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

## FEE FOR CLAIMS

The fee for claims (37 C.F.R. §1.16(b)-(d)) has been calculated as shown below:


CLAIMS AS AMENDED						
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
Total Claims:	11	-	27	0	x \$18 =	\$ - 0.00 -
Independent Claims:	7	-	11	0	x \$86 =	\$ - 0.00 -
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT =						\$ - 0.00 -

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed to cover the costs for the additional claims (37 C.F.R. § 1.16(c)).
- ☐ Please charge Deposit Account No. 23-2426 (11321-P012USD3) in the amount of \$0. A **duplicate copy of this transmittal letter is enclosed.**
- ☒ The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication, or credit any overpayment, to Deposit Account No. 23-2426 (11321-P012USD3). A **duplicate copy of this transmittal letter is enclosed.**
- ☒ Any additional filing fees required under 37 C.F.R. § 1.16.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.

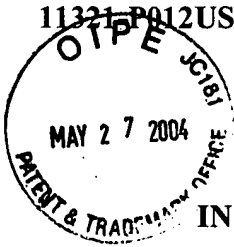
Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

Attorney for Applicant

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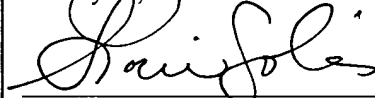


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GRACIE SOLIS

**RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT**  
**UNDER 37 C.F.R. § 1.121**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

In response to the Notice of Non-Compliant Amendment under 37 C.F.R. § 1.121 having a mailing date of 11 May 2004, with an one-month shortened statutory period for response set to expire on 11 June 2004, please amend the above-identified Application as follows:

**Amendments to the Claims** begin on page 2 of this paper.